

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*

## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) *Reference: Section 17.12 of the Revised Disclosure Rules*

#### Type of Securities

Common

For the period ended Mar 31, 2024

#### Description of the Disclosure

List of Top 100 Stockholders

Number of Issued Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	4,063,960,950
; ;PCD Nominee - Filipino	2,739,078,910
; ;PCD Nominee - Non-Filipino	1,324,882,040
Number of Certificated Common Shares	696,039,050

Change from previous submission

None

**Filed on behalf by:**

<b>Name</b>	Stephanie Faye Reyes
<b>Designation</b>	Assistant Corporate Secretary

**COL FINANCIAL GROUP, INC.**

## LIST OF TOP 100 STOCKHOLDERS

AS OF MARCH 31, 2024

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION Filipino - 2,739,078,910 Non-Filipino - <u>1,324,882,040</u>	4,063,960,950	85.3773
2	LEE, EDWARD K.	622,500,000	13.0777
3	ANG, VALENTINA L.	50,000,000	1.0504
4	LEE, LYDIA	10,000,000	0.2101
5	TAN, JESSALYNN L.	10,000,000	0.2101
6	LIM, HERNAN GO	1,000,000	0.0210
7	YU, RAYMOND C.	1,000,000	0.0210
8	HAN, PAULWELL	1,000,000	0.0210
9	BARRETTO, SERAFIN JR. P.	120,000	0.0025
10	ESTACION, MANUEL	100,000	0.0021
11	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
12	VILLANUEVA, MYRA P.	60,000	0.0013
13	FILIO, SERNANDO	50,000	0.0011
14	GARA, ROSARIO	50,000	0.0011
15	KHOO BOO BOON	10,000	0.0002
16	HAPI ILOILO CORPORATION	10,000	0.0002
17	LITMAN, JOEL A.	10,000	0.0002
18	GUERZON, MARIA CARMEN	6,680	0.0001
19	VILLANUEVA, MILAGROS P.	5,000	0.0001
20	VILLANUEVA, MYRNA P.	5,000	0.0001
21	PAPIN, JOHN MARCT A.	3,000	0.0001
22	GUERZON, ANTONIO GABRIEL	1,660	0.0000
23	GUERZON, NICOLE THERESE	1,660	0.0000
24	ACOSTA, ROMMEL R.	1,000	0.0000
25	M.J. SORIANO TRADING, INC.	1,000	0.0000
26	GILI, GUILLERMO JR., F.	1,000	0.0000
27	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
28	ENDAYA, GLENDA T.	1,000	0.0000
29	BATE, CONRADO F.	999	0.0000
30	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
31	OBARA, SOHEI	1	0.0000

**4,760,000,000**

OUTSTANDING BALANCES FOR SPECIFIC COMPANY

March 27, 2024

COL00000000

BPNAME	QUANTITY
CTS GLOBAL EQUITY GROUP, INC.	1,997,464,850
COL Financial Group, Inc.	1,210,496,886
STANDARD CHARTERED BANK	744,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	40,000,000
MAYBANK SECURITIES, INC.	9,379,000
STANDARD SECURITIES CORPORATION	9,355,000
DEUTSCHE BANK MANILA-CLIENTS A/C	6,000,080
SOLAR SECURITIES, INC.	5,575,000
YU & COMPANY, INC.	5,540,000
WEALTH SECURITIES, INC.	4,715,010
BDO SECURITIES CORPORATION	4,407,361
BPI SECURITIES CORPORATION	3,042,669
CITIBANK N.A.	2,361,000
F. YAP SECURITIES, INC.	2,082,000
E. CHUA CHIACO SECURITIES, INC.	1,996,000
PHILSTOCKS FINANCIAL INC	1,370,461
DAVID GO SECURITIES CORP.	1,250,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,083,000
GOLDSTAR SECURITIES, INC.	1,000,000
STRATEGIC EQUITIES CORP.	1,000,000
FIRST METRO SECURITIES BROKERAGE CORP.	994,933
MANDARIN SECURITIES CORPORATION	958,000
ABACUS SECURITIES CORPORATION	919,200
EVERGREEN STOCK BROKERAGE & SEC., INC.	845,000
EAGLE EQUITIES, INC.	823,000
AP SECURITIES INCORPORATED	672,000
R. S. LIM & CO., INC.	550,000
YAO & ZIALCITA, INC.	550,000
ANSALDO, GODINEZ & CO., INC.	516,000
PNB SECURITIES, INC.	508,000
JSG SECURITIES, INC.	506,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000
TOWER SECURITIES, INC.	421,000
REGINA CAPITAL DEVELOPMENT CORPORATION	413,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	314,000
BELSON SECURITIES, INC.	231,000
NEW WORLD SECURITIES CO., INC.	200,000
PREMIUM SECURITIES, INC.	200,000

LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
AB CAPITAL SECURITIES, INC.	169,500
G.D. TAN & COMPANY, INC.	150,000
SB EQUITIES, INC.	134,000
TANSENGCO & CO., INC.	105,000
GLOBALINKS SECURITIES & STOCKS, INC.	100,000
HDI SECURITIES, INC.	100,000
LANDBANK SECURITIES, INC.	88,000
AAA SOUTHEAST EQUITIES, INCORPORATED	83,000
R. COYIUTO SECURITIES, INC.	70,000
ALPHA SECURITIES CORP.	66,000
R. NUBLA SECURITIES, INC.	60,000
MOUNT PEAK SECURITIES, INC.	57,000
TRITON SECURITIES CORP.	55,000
I. B. GIMENEZ SECURITIES, INC.	30,000
R & L INVESTMENTS, INC.	20,000
EQUITIWORLD SECURITIES, INC.	17,000
DIVERSIFIED SECURITIES, INC.	15,000
PAPA SECURITIES CORPORATION	12,000
OPTIMUM SECURITIES CORPORATION	11,000
UNICAPITAL SECURITIES INC.	10,000
ASIASEC EQUITIES, INC.	5,000
S.J. ROXAS & CO., INC.	5,000
INVESTORS SECURITIES, INC,	4,000
DRAGONFI SECURITIES, INC.	4,000
A & A SECURITIES, INC.	2,000
IGC SECURITIES INC.	1,000
<b>TOTAL</b>	<b>4,063,960,950</b>

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## COL Financial Group, Inc. COL

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) Reference: Section 17.12 of the Revised Disclosure Rules

#### Type of Securities

Common

For the period ended Jun 30, 2024

#### Description of the Disclosure

List of Top 100 Stockholders

Number of Issued Common Shares	4,760,000,000
Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	4,760,000,000
Number of Listed Common Shares	4,760,000,000
Number of Lodged Common Shares	4,063,960,950
PCD Nominee - Filipino	2,739,950,910
PCD Nominee - Non-Filipino	1,324,010,040
Number of Certificated Common Shares	696,039,050

#### Change from previous submission

None

**Filed on behalf by:**

<b>Name</b>	Stephanie Faye Reyes
<b>Designation</b>	Assistant Corporate Secretary

**COL FINANCIAL GROUP, INC.**

## LIST OF TOP 100 STOCKHOLDERS

AS OF JUNE 30, 2024

<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
1	PCD NOMINEE CORPORATION Filipino - 2,739,950,910 Non-Filipino - <u>1,324,010,040</u>	4,063,960,950	85.3773
2	LEE, EDWARD K.	622,500,000	13.0777
3	ANG, VALENTINA L.	50,000,000	1.0504
4	LEE, LYDIA	10,000,000	0.2101
5	TAN, JESSALYNN L.	10,000,000	0.2101
6	LIM, HERNAN GO	1,000,000	0.0210
7	YU, RAYMOND C.	1,000,000	0.0210
8	HAN, PAULWELL	1,000,000	0.0210
9	BARRETTO, SERAFIN JR. P.	120,000	0.0025
10	ESTACION, MANUEL	100,000	0.0021
11	YU, WELLINGTON C. OR YU, VICTORIA O.	100,000	0.0021
12	VILLANUEVA, MYRA P.	60,000	0.0013
13	FILIO, SERNANDO	50,000	0.0011
14	GARA, ROSARIO	50,000	0.0011
15	KHOO BOO BOON	10,000	0.0002
16	HAPI ILOILO CORPORATION	10,000	0.0002
17	LITMAN, JOEL A.	10,000	0.0002
18	GUERZON, MARIA CARMEN	6,680	0.0001
19	VILLANUEVA, MILAGROS P.	5,000	0.0001
20	VILLANUEVA, MYRNA P.	5,000	0.0001
21	PAPIN, JOHN MARCT A.	3,000	0.0001
22	GUERZON, ANTONIO GABRIEL	1,660	0.0000
23	GUERZON, NICOLE THERESE	1,660	0.0000
24	ACOSTA, ROMMEL R.	1,000	0.0000
25	M.J. SORIANO TRADING, INC.	1,000	0.0000
26	GILI, GUILLERMO JR., F.	1,000	0.0000
27	SANVICTORES, JULIUS VICTOR EMMANUEL D.	1,000	0.0000
28	ENDAYA, GLENDA T.	1,000	0.0000
29	BATE, CONRADO F.	999	0.0000
30	AU, OWEN NATHANIEL S. ITF: LI MARCUS AU	50	0.0000
31	OBARA, SOHEI	1	0.0000

**4,760,000,000**

OUTSTANDING BALANCES FOR SPECIFIC COMPANY

June 28, 2024

COL000000000

BPNAME	QUANTITY
COL Financial Group, Inc.	1,653,813,456
CTS GLOBAL EQUITY GROUP, INC.	1,557,195,400
STANDARD CHARTERED BANK	739,240,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	40,000,000
DEUTSCHE BANK MANILA-CLIENTS A/C	11,000,080
MAYBANK SECURITIES, INC.	9,379,000
STANDARD SECURITIES CORPORATION	9,355,000
SOLAR SECURITIES, INC.	5,575,000
YU & COMPANY, INC.	5,540,000
BDO SECURITIES CORPORATION	4,418,361
BPI SECURITIES CORPORATION	2,817,669
CITIBANK N.A.	2,102,000
WEALTH SECURITIES, INC.	2,052,010
F. YAP SECURITIES, INC.	2,037,000
E. CHUA CHIACO SECURITIES, INC.	1,996,000
PHILSTOCKS FINANCIAL INC	1,371,702
DAVID GO SECURITIES CORP.	1,250,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	1,083,000
GOLDSTAR SECURITIES, INC.	1,000,000
STRATEGIC EQUITIES CORP.	1,000,000
FIRST METRO SECURITIES BROKERAGE CORP.	982,933
MANDARIN SECURITIES CORPORATION	958,000
ABACUS SECURITIES CORPORATION	919,100
EVERGREEN STOCK BROKERAGE & SEC., INC.	845,000
EAGLE EQUITIES, INC.	818,000
AP SECURITIES INCORPORATED	677,000
R. S. LIM & CO., INC.	550,000
YAO & ZIALCITA, INC.	550,000
ANSALDO, GODINEZ & CO., INC.	541,000
PNB SECURITIES, INC.	508,000
JSG SECURITIES, INC.	506,000
UOB KAY HIAN SECURITIES (PHILS.), INC.	438,000
TOWER SECURITIES, INC.	421,000
REGINA CAPITAL DEVELOPMENT CORPORATION	413,000
QUALITY INVESTMENTS & SECURITIES CORPORATION	264,000
BELSON SECURITIES, INC.	231,000
LUCKY SECURITIES, INC.	200,000
PREMIUM SECURITIES, INC.	200,000

NEW WORLD SECURITIES CO., INC.	180,000
AB CAPITAL SECURITIES, INC.	174,239
LOPEZ, LOCSIN, LEDESMA & CO., INC.	170,000
G.D. TAN & COMPANY, INC.	150,000
SB EQUITIES, INC.	134,000
GLOBALINKS SECURITIES & STOCKS, INC.	111,765
TANSENGCO & CO., INC.	105,000
HDI SECURITIES, INC.	100,000
AAA SOUTHEAST EQUITIES, INCORPORATED	83,000
R. COYIUTO SECURITIES, INC.	80,000
LANDBANK SECURITIES, INC.	74,000
ALPHA SECURITIES CORP.	66,000
R. NUBLA SECURITIES, INC.	60,000
MOUNT PEAK SECURITIES, INC.	57,000
TRITON SECURITIES CORP.	55,000
PAPA SECURITIES CORPORATION	24,000
EQUITIWORLD SECURITIES, INC.	17,000
DIVERSIFIED SECURITIES, INC.	15,000
INVESTORS SECURITIES, INC,	11,000
OPTIMUM SECURITIES CORPORATION	11,000
UNICAPITAL SECURITIES INC.	10,000
R & L INVESTMENTS, INC.	8,235
ASIASEC EQUITIES, INC.	5,000
S.J. ROXAS & CO., INC.	5,000
DRAGONFI SECURITIES, INC.	4,000
A & A SECURITIES, INC.	2,000
IGC SECURITIES INC.	1,000
<b>TOTAL</b>	<b>4,063,960,950</b>